

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Steven M. Bloom, Michael S.      Art Unit : 3693  
              Spector and John L. Jacobs      Examiner : Chandler, Sara M.  
Serial No. : 10/001,900                      Conf. No. : 8995  
Filed : November 14, 2001  
Title : BALANCING ARBITRAGABLE TRACKING SECURITIES

**Mail Stop Amendment**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

AMENDMENT IN REPLY TO ACTION OF JANUARY 18, 2007

Please amend the above-identified application as follows: